

# Orbital stability of Dirac solitons

(the massive Thirring model)

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Université de Cergy–Pontoise, France, November 18, 2013

# The problem

The nonlinear Dirac equations in one spatial dimension,

$$\begin{cases} i(u_t + u_x) + v = \partial_{\bar{u}}W(u, v), \\ i(v_t - v_x) + u = \partial_{\bar{v}}W(u, v), \end{cases}$$

where  $W(u, v) : \mathbb{C}^2 \rightarrow \mathbb{R}$  satisfies the following three conditions:

- ▶ symmetry  $W(u, v) = W(v, u)$ ;
- ▶ gauge invariance  $W(e^{i\theta}u, e^{i\theta}v) = W(u, v)$  for any  $\theta \in \mathbb{R}$ ;
- ▶ polynomial in  $(u, v)$  and  $(\bar{u}, \bar{v})$ .

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Examples of nonlinear potentials:

- ▶ Bragg resonance:  $W = |u|^4 + 4|u|^2|v|^2 + |v|^4$ .
- ▶ Gross–Neveu model:  $W = (\bar{u}v + u\bar{v})^2$ .
- ▶ Massive Thirring model:  $W = |u|^2|v|^2$

# Massive Thirring Model (MTM)

The MTM in laboratory coordinates

$$\begin{cases} i(u_t + u_x) + v = 2|v|^2 u, \\ i(v_t - v_x) + u = 2|u|^2 v, \end{cases}$$

First three conserved quantities are

$$Q = \int_{\mathbb{R}} (|u|^2 + |v|^2) dx,$$

$$P = \frac{i}{2} \int_{\mathbb{R}} (u\bar{u}_x - u_x\bar{u} + v\bar{v}_x - v_x\bar{v}) dx,$$

$$H = \frac{i}{2} \int_{\mathbb{R}} (u\bar{u}_x - u_x\bar{u} - v\bar{v}_x + v_x\bar{v}) dx + \int_{\mathbb{R}} (-v\bar{u} - u\bar{v} + 2|u|^2|v|^2) dx.$$

An infinite set of conserved quantities is available thanks to the integrability of the MTM.

# Local and global existence

## Theorem

*Assume  $\mathbf{u}_0 \in H^s(\mathbb{R})$  for any fixed  $s > \frac{1}{2}$ . There exists  $T > 0$  such that the nonlinear Dirac equations admit a unique solution*

$$\mathbf{u}(t) \in C([0, T], H^s(\mathbb{R})) \cap C^1([0, T], H^{s-1}(\mathbb{R})) : \quad \mathbf{u}(0) = \mathbf{u}_0,$$

*which depends continuously on the initial data.*

## Theorem

*Assume that  $W$  is a polynomial in variables  $|u|^2$  and  $|v|^2$ . A local solution in  $H^{[s]}$  is extended globally as  $\mathbf{u}(t) \in C(\mathbb{R}_+, H^{[s]}(\mathbb{R}))$ .*

**References:** Delgado (1978); Goodman-Weinstein-Holmes (2001); Selberg-Tesfahun (2010); Huh (2011); Zhang (2013).

## Quick proof of global well-posedness in $H^1(\mathbb{R})$

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- ▶ To obtain a priori energy estimates,  $W$  is canceled in

$$\begin{aligned}\partial_t (|u|^{2p+2} + |v|^{2p+2}) + \partial_x (|u|^{2p+2} - |v|^{2p+2}) \\ = i(p+1)(v\bar{u} - \bar{v}u)(|u|^{2p} - |v|^{2p}).\end{aligned}$$

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- ▶ By Gronwall's inequality, we have

$$\|\mathbf{u}(t)\|_{L^{2p+2}} \leq e^{2|t|} \|\mathbf{u}(0)\|_{L^{2p+2}}, \quad t \in [0, T],$$

which holds for any  $p \geq 0$  including  $p \rightarrow \infty$ .



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which holds for any  $p \geq 0$  including  $p \rightarrow \infty$ .

- ▶ This allows to control

$$\frac{d}{dt} \|\partial_x \mathbf{u}(t)\|_{L^2}^2 \leq C_W e^{4(N-1)|t|} \|\partial_x \mathbf{u}(t)\|_{L^2}^2,$$

where  $N$  is the degree of  $W$  in variables  $|u|^2$  and  $|v|^2$ .

# Existence of solitary waves

Time-periodic space-localized solutions

$$u(x, t) = U_\omega(x)e^{-i\omega t}, \quad v(x, t) = V_\omega(x)e^{-i\omega t}$$

satisfy a system of stationary Dirac equations. They are known in the closed analytic form

$$\begin{cases} u(x, t) = i \sin(\gamma) \operatorname{sech} \left[ x \sin \gamma - i \frac{\gamma}{2} \right] e^{-it \cos \gamma}, \\ v(x, t) = -i \sin(\gamma) \operatorname{sech} \left[ x \sin \gamma + i \frac{\gamma}{2} \right] e^{-it \cos \gamma}. \end{cases}$$

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- ▶ Translations in  $x$  and  $t$  can be added as free parameters.
- ▶ Constraint  $\omega = \cos \gamma \in (-1, 1)$  exists because spectrum of linear waves is located for  $(-\infty, -1] \cup [1, \infty)$ .
- ▶ Moving solitons can be obtained from the stationary solitons with the Lorentz transformation.

# Orbital stability of solitary waves

## Definition

We say that the solitary wave  $e^{-i\omega t}\mathbf{U}_\omega(x)$  is orbitally stable if for any  $\epsilon > 0$  there is a  $\delta(\epsilon) > 0$ , such that if

$$\|\mathbf{u}(\cdot, 0) - \mathbf{U}_\omega(\cdot)\|_{H^1} \leq \delta(\epsilon)$$

then

$$\inf_{\theta, a \in \mathbb{R}} \|\mathbf{u}(\cdot, t) - e^{-i\theta}\mathbf{U}_\omega(\cdot + a)\|_{H^1} \leq \epsilon,$$

for all  $t > 0$ .

- ▶ Spectral stability of Dirac solitons was mainly studied numerically, with the exception of recent results by A. Comech and his coauthors (N. Boussaid, S. Gustafson).
- ▶ Asymptotic stability of Dirac solitons was proved for quintic nonlinearities in 1D by Pelinovsky–Stefanov (2012) and in 3D by Boussaid–Cuccagna (2012).

# Orbital stability of MTM solitons in $H^1$

## Theorem

*There is  $\omega_0 \in (0, 1]$  such that for any fixed  $\omega = \cos \gamma \in (-\omega_0, \omega_0)$ , the MTM soliton is a local non-degenerate minimizer of  $R$  in  $H^1(\mathbb{R}, \mathbb{C}^2)$  under the constraints of fixed values of  $Q$  and  $P$ .*

The higher-order Hamiltonian  $R$  is

$$R = \int_{\mathbb{R}} \left[ |u_x|^2 + |v_x|^2 - \frac{i}{2}(u_x \bar{u} - \bar{u}_x u)(|u|^2 + 2|v|^2) + \frac{i}{2}(v_x \bar{v} - \bar{v}_x v)(2|u|^2 + |v|^2) - (u\bar{v} + \bar{u}v)(|u|^2 + |v|^2) + 2|u|^2|v|^2(|u|^2 + |v|^2) \right] dx.$$

$R$  is a conserved quantity of the MTM in addition to the standard Hamiltonian  $H$ , the charge  $Q$ , and the momentum  $P$ .

## Similar works

- ▶ Sachs and Maddocks (1993) used higher-order conserved quantities of the KdV equation to prove orbital stability of  $n$ -solitons in  $H^n(\mathbb{R})$ .
- ▶ Kapitula (2006) used higher-order conserved quantities of the NLS equation to prove spectral and orbital stability of  $n$ -solitons in  $H^n(\mathbb{R})$ .
- ▶ Deconinck and Kapitula (2010) proved orbital stability of periodic waves in the KdV equation by adding lower-order Hamiltonians to the higher-order Hamiltonian, which has no minimum property at the periodic waves.
- ▶ Alejo and Munoz (2013) proved orbital stability of breathers in the modified KdV equation in  $H^2(\mathbb{R})$  by using an additional conserved quantity.

## The energy functionals

- ▶ Critical points of  $H + \omega Q$  for a fixed  $\omega \in (-1, 1)$  satisfy the stationary MTM equations. After the reduction  $(u, v) = (U, \bar{U})$ , we obtain the first-order equation

$$i \frac{dU}{dx} - \omega U + \bar{U} = 2|U|^2 U,$$

which is satisfied by the MTM soliton  $U = U_\omega$ .

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which is satisfied by the MTM soliton  $U = U_\omega$ .

- ▶ Critical points of  $R + \Omega Q$  for some fixed  $\Omega \in \mathbb{R}$  satisfy another system of equations. After the reduction  $(u, v) = (U, \bar{U})$ , we obtain the second-order equation

$$\frac{d^2 U}{dx^2} + 6i|U|^2 \frac{dU}{dx} - 6|U|^4 U + 3|U|^2 \bar{U} + U^3 = \Omega U.$$

Nice **surprise** is that  $U = U_\omega$  satisfies this second-order equation if  $\Omega = 1 - \omega^2$ .



# The Lyapunov functional for MTM solitons

We define the energy functional in  $H^1(\mathbb{R}, \mathbb{C}^2)$

$$\Lambda_\omega := R + (1 - \omega^2)Q, \quad \omega \in (-1, 1),$$

where  $Q = \|u\|_{L^2}^2 + \|v\|_{L^2}^2$ .

- ▶  $U_\omega$  is a critical point of  $\Lambda_\omega$ .
- ▶ The second variation of  $\Lambda_\omega$  is determined by the  $4 \times 4$  matrix differential operator, which can be block-diagonalized (Chugunova and Pelinovsky, 2006):

$$S^T L S = \begin{bmatrix} L_+ & 0 \\ 0 & L_- \end{bmatrix},$$

where  $L_+$  and  $L_-$  are  $2 \times 2$  matrix Schrödinger operators.

# The Linearized Operators

We want strict positivity of  $L$  in

$$S^T L S = \begin{bmatrix} L_+ & 0 \\ 0 & L_- \end{bmatrix}.$$

Unfortunately, operators  $L_+$  and  $L_-$  have negative and zero eigenvalues. At least, the continuous spectrum of  $L_{\pm}$  is strictly positive if  $\omega^2 < 1$ :  $\sigma_c(L_{\pm}) = [1 - \omega^2, \infty)$ .

$$L_+ = \begin{bmatrix} \mathcal{L}_+ & -6\omega U_\omega^2 \\ -6\omega \bar{U}_\omega^2 & \bar{\mathcal{L}}_+ \end{bmatrix}, \quad L_- = \begin{bmatrix} \mathcal{L}_- & 2\omega U_\omega^2 \\ 2\omega \bar{U}_\omega^2 & \bar{\mathcal{L}}_- \end{bmatrix},$$

where

$$\mathcal{L}_+ = -\frac{d^2}{dx^2} - 6i|U_\omega|^2 \frac{d}{dx} U_\omega + 6|U_\omega|^4 - 3U_\omega^2 + 3\bar{U}_\omega^2 - 6\omega|U_\omega|^2 + 1 - \omega^2,$$

$$\mathcal{L}_- = -\frac{d^2}{dx^2} - 2i|U_\omega|^2 \frac{d}{dx} U_\omega - 2|U_\omega|^4 - U_\omega^2 + \bar{U}_\omega^2 - 2\omega|U_\omega|^2 + 1 - \omega^2.$$

# The spectral problem of the operator $L_-$

## Lemma

*For any  $\omega \in (-1, 1)$ ,  $L_-$  has exactly two eigenvalues below the continuous spectrum. One eigenvalue is zero for any  $\omega$ . The other eigenvalue is positive for  $\omega \in (0, 1)$ , negative for  $\omega \in (-1, 0)$ , and zero for  $\omega = 0$ .*

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By setting  $u(x) = \varphi(x)e^{-i \int_0^x |U_\omega(x')|^2 dx'}$  in the spectral problem  $L_- \mathbf{u} = \mu \mathbf{u}$ , we obtain an equivalent spectral problem  $\tilde{L} \vec{\phi} = \mu \vec{\phi}$  with

$$\tilde{L} = \begin{bmatrix} -\partial_x^2 + 1 - \omega^2 - 2\omega|U_\omega|^2 - 3|U_\omega|^4 & 2\omega|U_\omega|^2 \\ 2\omega|U_\omega|^2 & -\partial_x^2 + 1 - \omega^2 - 2\omega|U_\omega|^2 - 3|U_\omega|^4 \end{bmatrix}.$$

Furthermore, if we set  $\psi_\pm := \varphi(x) \pm \bar{\varphi}(x)$ ,  $z := \sqrt{1 - \omega^2}x$ , and  $\mu := (1 - \omega^2)\lambda$ , we obtain two uncoupled spectral problems

$$-\frac{d^2 \psi_+}{dz^2} + \left[ 1 - \frac{3(1 - \omega^2)}{(\omega + \cosh(2z))^2} \right] \psi_+ = \lambda \psi_+ \quad (1)$$

and

$$-\frac{d^2 \psi_-}{dz^2} + \left[ 1 - \frac{3(1 - \omega^2)}{(\omega + \cosh(2z))^2} - \frac{4\omega}{\omega + \cosh(2z)} \right] \psi_- = \lambda \psi_- \quad (2)$$

- ▶ The eigenfunction of Eq (2) for  $\lambda = 0$  for any  $\omega \in (-1, 1)$  is

$$\psi_0(z) = \frac{1}{(\omega + \cosh(2z))^{1/2}} > 0.$$

By Sturm's theory, **there is no negative eigenvalue.**

- ▶ For the problem with a deeper potential well

$$-\frac{d^2\psi_-}{dz^2} + \left[ 1 - \frac{8(1 - \omega^2)}{(\omega + \cosh(2z))^2} - \frac{4\omega}{\omega + \cosh(2z)} \right] \psi_- = \lambda\psi_-,$$

there is the end-point resonance at  $\lambda = 1$ :

$$\psi_c(z) = \frac{\sinh(2z)}{\omega + \cosh(2z)}$$

By Sturm's theory,  **$\lambda = 0$  is the only isolated eigenvalue.**

- ▶ The difference of potentials between Eq (1) and Eq (2) is

$$\Delta V(z) := \frac{4\omega}{\omega + \cosh(2z)}.$$

The zero eigenvalue for  $\omega = 0$  is **a positive eigenvalue** for  $\omega > 0$  and **a negative eigenvalue** for  $\omega < 0$ .

- ▶ For the problem with a deeper potential well

$$-\frac{d^2\psi}{dz^2} + \left[ 1 - \frac{3(1 - \omega^2)}{(\omega + 1 + 2z^2)^2} \right] \psi = \lambda\psi,$$

there is the end-point resonance at  $\lambda = 1$ :

$$\tilde{\psi}_c(y) = \frac{z}{\sqrt{\omega + 1 + 2z^2}}.$$

By Sturm's theory, the eigenvalue above is **the only isolated eigenvalue**.

# The spectral problem of the operator $L_+$

## Lemma

*There is  $\omega_0 \in (0, 1]$  such that for any fixed  $\omega \in (-\omega_0, \omega_0)$ , operator  $L_+$  has exactly two eigenvalues below the continuous spectrum. One eigenvalue is zero for any  $\omega$ . The other eigenvalue is positive for  $\omega \in (-\omega_0, 0)$ , negative for  $\omega \in (0, \omega_0)$ , and zero for  $\omega = 0$ .*

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By setting  $u(x) = \varphi(x)e^{-3i \int_0^x |U_\omega(x')|^2 dx'}$  in the spectral problem  $L_+ \mathbf{u} = \mu \mathbf{u}$ , where  $\mathbf{u} = (u, \bar{u})^t$  and setting  $z := \sqrt{1 - \omega^2}x$  and  $\mu := (1 - \omega^2)\lambda$ , we obtain an equivalent spectral problem

$$\begin{bmatrix} -\partial_z^2 + 1 + V_1(z) & V_2(z) \\ \bar{V}_2(z) & -\partial_z^2 + 1 + V_1(z) \end{bmatrix} \begin{bmatrix} \varphi \\ \bar{\varphi} \end{bmatrix} = \lambda \begin{bmatrix} \varphi \\ \bar{\varphi} \end{bmatrix},$$

where

$$V_1(z) := -\frac{3(1 - \omega^2)}{(\omega + \cosh(2z))^2} - \frac{6\omega}{\omega + \cosh(2z)}$$

and

$$V_2(z) := -6\omega \frac{\left(1 + \omega \cosh(2z) + i\sqrt{1 - \omega^2} \sinh(2z)\right)^2}{(\omega + \cosh(2z))^3}.$$



- ▶  $\lambda = 0$  is an eigenvalue for all  $\omega \in (-1, 1)$  with the eigenvector  $(\varphi_0, \bar{\varphi}_0)$ ,

$$\varphi_0(z) = \frac{\omega \sinh(2z) + i\sqrt{1-\omega^2} \cosh(2z)}{(\omega + \cosh(2z))^{3/2}}.$$

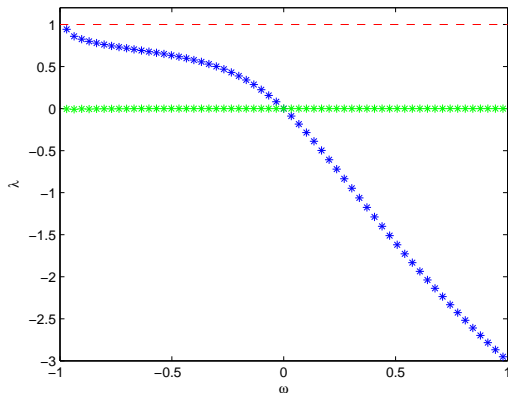
- ▶ For  $\omega = 0$ , the zero eigenvalue is double, the end-points have no resonances, and no other eigenvalues exist.
- ▶ The assertion is proved by the perturbation theory:

$$\begin{aligned} \left\langle \begin{bmatrix} \varphi_0 \\ -\bar{\varphi}_0 \end{bmatrix}, L_+ \begin{bmatrix} \varphi_0 \\ -\bar{\varphi}_0 \end{bmatrix} \right\rangle &= -12\omega \int_{\mathbb{R}} \frac{3 - \cosh(4z)}{\cosh(2z)^4} dz \\ &= -16\omega + \mathcal{O}(\omega^2). \end{aligned}$$

# Conjecture on eigenvalues of the operator $L_+$

## Conjecture

*Operator  $L_+$  has exactly two isolated eigenvalues and no end-point resonances for all  $\omega \in (-1, 1)$ . The non-zero eigenvalue is positive for all  $\omega \in (-1, 0)$  and negative for all  $\omega \in (0, 1)$ .*



# Convexity of the energy functional

Consider again the energy functional in  $H^1(\mathbb{R}, \mathbb{C}^2)$

$$\Lambda_\omega := R + (1 - \omega^2)Q, \quad \omega \in (-1, 1),$$

where  $Q = \|u\|_{L^2}^2 + \|v\|_{L^2}^2$ .

- ▶  $U_\omega$  is a critical point of  $\Lambda_\omega$ .
- ▶ The second variation of  $\Lambda_\omega$  at  $U_\omega$  is associated with the matrix operator

$$S^T L S = \begin{bmatrix} L_+ & 0 \\ 0 & L_- \end{bmatrix},$$

which has exactly one negative eigenvalue for  $\omega < 0$  and  $\omega > 0$  and a quadrupole zero eigenvalue for  $\omega = 0$ .

# Constrained Hilbert spaces

Let us assume that  $(u, v) \in L^2(\mathbb{R}; \mathbb{C}^2)$  satisfies the complex-valued constraints:

$$\int_{\mathbb{R}} (\bar{U}_\omega u + U_\omega v) dx = 0, \quad (1)$$

$$\int_{\mathbb{R}} (\bar{U}'_\omega u + U'_\omega v) dx = 0, \quad (2)$$

- ▶ Real part of Eq (1) corresponds to fixed  $Q$  (charge).
- ▶ Imaginary part of Eq. (2) corresponds to fixed  $P$  (momentum).
- ▶ Imaginary part of Eq. (1) corresponds to orthogonality to the gauge translation mode  $u \mapsto ue^{i\alpha}$ ,  $v \mapsto ve^{i\alpha}$ .
- ▶ Real part of Eq. (2) corresponds to orthogonality to the space translation mode  $u(x) \mapsto u(x + x_0)$ ,  $v(x) \mapsto v(x + x_0)$ .

# Convexity of the energy functional

## Theorem

*There is  $\omega_0 \in (0, 1]$  such that for any fixed  $\omega \in (-\omega_0, \omega_0)$ , the Lyapunov functional  $\Lambda_\omega$  is strictly convex at  $(u, v) = (U_\omega, \bar{U}_\omega)$  in the orthogonal complement of the complex-valued constraints (1) and (2).*

The second variation of  $\Lambda_\omega$  at  $U_\omega$  is associated with the matrix operator

$$S^T L S = \begin{bmatrix} L_+ & 0 \\ 0 & L_- \end{bmatrix},$$

The constraints remove the negative eigenvalue of  $L_+$  and  $L_-$  for  $\omega > 0$  and  $\omega < 0$  and the zero eigenvalue for all  $\omega$ .

# Orbital stability result

- ▶  $R$ ,  $Q$ , and  $P$  are conserved in time  $t$ .
- ▶ Strict positivity of  $L$  implies

$$\langle L\mathbf{u}, \mathbf{u} \rangle_{L^2} \geq C\|\mathbf{u}\|_{H^1}$$

for all  $\mathbf{u} \in H^1(\mathbb{R}; \mathbb{C}^2)$  in the constrained space.

- ▶ Then, we obtain the lower bound via standard arguments:

$$\Lambda_\omega(\mathbf{u}) - \Lambda_\omega(\mathbf{U}_\omega) \geq \inf_{\theta, x_0} \|\mathbf{u}(\cdot, t) - e^{i\theta}\mathbf{U}_\omega(\cdot + x_0)\|_{H^1}$$

- ▶ This yields orbital stability of  $\mathbf{U}_\omega$  for  $\omega \in (-\omega_0, \omega_0)$ .

## Orbital stability of MTM solitons in $L^2$

**Well-posedness** (Candy, 2011): For any  $(u_0, v_0) \in L^2(\mathbb{R})$ , there exists a unique solution of the MTM  $(u, v) \in C(\mathbb{R}, L^2(\mathbb{R}))$ :

$$\|u(\cdot, t)\|_{L^2}^2 + \|v(\cdot, t)\|_{L^2}^2 = \|u_0\|_{L^2}^2 + \|v_0\|_{L^2}^2.$$

### Theorem

*Let  $(u, v) \in C(\mathbb{R}; L^2(\mathbb{R}))$  be a solution of the MTM system and  $\lambda_0$  be a complex non-zero number. There exist a real positive constant  $\epsilon$  such that if the initial value  $(u_0, v_0) \in L^2(\mathbb{R})$  satisfies*

$$\|u_0 - u_{\lambda_0}(\cdot, 0)\|_{L^2} + \|v_0 - v_{\lambda_0}(\cdot, 0)\|_{L^2} \leq \epsilon,$$

*then for every  $t \in \mathbb{R}$ , there exists  $\lambda \in \mathbb{C}$  such that  $|\lambda - \lambda_0| \leq C\epsilon$ ,*

$$\inf_{a, \theta \in \mathbb{R}} (\|u(\cdot + a, t) - e^{-i\theta} u_{\lambda}(\cdot, t)\|_{L^2} + \|v(\cdot + a, t) - e^{-i\theta} v_{\lambda}(\cdot, t)\|_{L^2}) \leq C\epsilon,$$

*where the constant  $C$  is independent of  $\epsilon$  and  $t$ .*

# Lax operators for the MTM

The MTM is obtained from the compatibility condition of the linear system

$$\vec{\phi}_x = L\vec{\phi} \quad \text{and} \quad \vec{\phi}_t = A\vec{\phi},$$

where

$$L = \frac{i}{2}(|v|^2 - |u|^2)\sigma_3 - \frac{i\lambda}{\sqrt{2}} \begin{pmatrix} 0 & \bar{v} \\ v & 0 \end{pmatrix} - \frac{i}{\sqrt{2}\lambda} \begin{pmatrix} 0 & \bar{u} \\ u & 0 \end{pmatrix} + \frac{i}{4} \left( \frac{1}{\lambda^2} - \lambda^2 \right) \sigma_3$$

and

$$A = -\frac{i}{4}(|u|^2 + |v|^2)\sigma_3 - \frac{i\lambda}{2} \begin{pmatrix} 0 & \bar{v} \\ v & 0 \end{pmatrix} - \frac{i}{2\lambda} \begin{pmatrix} 0 & \bar{u} \\ u & 0 \end{pmatrix} + \frac{i}{4} \left( \lambda^2 + \frac{1}{\lambda^2} \right) \sigma_3$$

## References:

Kaup–Newell (1977); Kuznetsov–Mikhailov (1977).



## Bäcklund transformation for the MTM

- ▶ Let  $(u, v)$  be a  $C^1$  solution of the MTM system.
- ▶ Let  $\vec{\phi} = (\phi_1, \phi_2)^t$  be a  $C^2$  nonzero solution of the linear system associated with  $(u, v)$  and  $\lambda = \delta e^{i\gamma/2}$ .

A new  $C^1$  solution of the MTM system is given by

$$\mathbf{u} = -u \frac{e^{-i\gamma/2} |\phi_1|^2 + e^{i\gamma/2} |\phi_2|^2}{e^{i\gamma/2} |\phi_1|^2 + e^{-i\gamma/2} |\phi_2|^2} + \frac{2i\delta^{-1} \sin \gamma \bar{\phi}_1 \phi_2}{e^{i\gamma/2} |\phi_1|^2 + e^{-i\gamma/2} |\phi_2|^2}$$
$$\mathbf{v} = -v \frac{e^{i\gamma/2} |\phi_1|^2 + e^{-i\gamma/2} |\phi_2|^2}{e^{-i\gamma/2} |\phi_1|^2 + e^{i\gamma/2} |\phi_2|^2} - \frac{2i\delta \sin \gamma \bar{\phi}_1 \phi_2}{e^{-i\gamma/2} |\phi_1|^2 + e^{i\gamma/2} |\phi_2|^2},$$

A new  $C^2$  nonzero solution  $\vec{\psi} = (\psi_1, \psi_2)^t$  of the linear system associated with  $(\mathbf{u}, \mathbf{v})$  and same  $\lambda$  is given by

$$\psi_1 = \frac{\bar{\phi}_2}{|e^{i\gamma/2} |\phi_1|^2 + e^{-i\gamma/2} |\phi_2|^2|}, \quad \psi_2 = \frac{\bar{\phi}_1}{|e^{i\gamma/2} |\phi_1|^2 + e^{-i\gamma/2} |\phi_2|^2|}.$$

## Bäcklund transformation $0 \leftrightarrow 1$ soliton

Let  $(u, v) = (0, 0)$  and define

$$\begin{cases} \phi_1 = e^{\frac{i}{4}(\lambda^2 - \lambda^{-2})x + \frac{i}{4}(\lambda^2 + \lambda^{-2})t}, \\ \phi_2 = e^{-\frac{i}{4}(\lambda^2 - \lambda^{-2})x - \frac{i}{4}(\lambda^2 + \lambda^{-2})t}. \end{cases}$$

Then,  $(\mathbf{u}, \mathbf{v}) = (u_\lambda, v_\lambda)$ .

If  $\lambda = e^{i\gamma/2}$  (stationary case), the vector  $\vec{\psi}$  is given by

$$\begin{cases} \psi_1 = e^{\frac{1}{2}x \sin \gamma + \frac{i}{2}t \cos \gamma} \left| \operatorname{sech} \left( x \sin \gamma - i \frac{\gamma}{2} \right) \right|, \\ \psi_2 = e^{-\frac{1}{2}x \sin \gamma - \frac{i}{2}t \cos \gamma} \left| \operatorname{sech} \left( x \sin \gamma - i \frac{\gamma}{2} \right) \right|. \end{cases}$$

It decays exponentially as  $|x| \rightarrow \infty$ .

Note that if  $(u, v) = (u_\lambda, v_\lambda)$  and  $\vec{\phi} = \vec{\psi}$ , then  $(\mathbf{u}, \mathbf{v}) = (0, 0)$ .

## Similar works

- ▶ Merle and Vega (2003) used the Miura transformation to prove asymptotic stability of KdV solitons in  $L^2$ .
- ▶ Mizumachi and Tzvetkov (2011) applied the same transformation to prove  $L^2$ -stability of line solitons in the KP-II equation under periodic transverse perturbations.
- ▶ Mizumachi and Pego (2008); Hoffman and Wayne (2009) used Bäcklund transformation to prove asymptotic stability of Toda lattice one-soliton and multi-solitons.
- ▶ Mizumachi and Pelinovsky (2012); Contreras and Pelinovsky (2013) used Bäcklund transformation to prove orbital stability of NLS one-soliton and multi-solitons in  $L^2$ .

# Steps in the proof of the main result

- ▶ Step 1: From a perturbed one-soliton to a small solution at the initial time  $t = 0$ .
- ▶ Step 2: Time evolution of the small solution for  $t \in \mathbb{R}$ .
- ▶ Step 3: From the small solution to the perturbed one-soliton for every  $t \in \mathbb{R}$ .
- ▶ Step 4: Approximation arguments in  $H^2(\mathbb{R})$  to control the compatibility condition of the linear system for every  $t \in \mathbb{R}$ .

## Asymptotic stability of MTM solitons ?

To prove asymptotic stability of MTM solitons, one needs first to establish the space where small initial data  $(u_0, v_0)$  produce no eigenvalues in the spectral problem

$$\vec{\phi}_x = L(u_0, v_0, \lambda)\vec{\phi},$$

where

$$L = \frac{i}{2}(|v|^2 - |u|^2)\sigma_3 - \frac{i\lambda}{\sqrt{2}} \begin{pmatrix} 0 & \bar{v} \\ v & 0 \end{pmatrix} - \frac{i}{\sqrt{2}\lambda} \begin{pmatrix} 0 & \bar{u} \\ u & 0 \end{pmatrix} + \frac{i}{4} \left( \frac{1}{\lambda^2} - \lambda^2 \right) \sigma_3$$

For NLS-type problems, it is well known that  $\|u_0\|_{L^1}$  has to be small, e.g. if  $\|\sqrt{1+x^2}u_0\|_{L^2}$  is small. Asymptotic stability of NLS solitons follows from an application of the auto-Backlund transformation (Deift–Park, 2011; Cuccagna–Pelinovsky, 2013).

For MTM systems, the precise conditions when the spectral problem has no eigenvalues are unknown...