

## 4.3 Schrödinger equation in three dimensions

### 4.3.1 Classification of Schrödinger equations

- Time-dependent Schrödinger equation

$$iu_t = -\Delta u + V(x, y, z)u,$$

where  $u = u(x, y, z, t) \in \mathbb{C}$  is the wave function of a quantum particle and  $V = V(x, y, z) \in \mathbb{R}$  is the quantum potential.

- Stationary Schrödinger equation

$$-\Delta \psi + V(x, y, z)\psi = E\psi,$$

such that  $\psi \in L^2(\mathbb{R}^3)$  with the normalization condition:

$$\int_{\mathbb{R}^3} |\psi|^2 dx dy dz = 1,$$

where  $u(x, y, z, t) = \psi(x, y, z)e^{-iEt}$  and  $E$  is the energy level.

### 4.3.2 Spherically symmetric potentials $V = V(r)$

- Spherical coordinates:

$$\begin{aligned} x &= r \sin \theta \cos \phi, \\ y &= r \sin \theta \sin \phi, \\ z &= r \cos \theta \end{aligned}$$

where  $r$  is the radial variable,  $\theta$  is the latitudinal angle, and  $\phi$  is the azimuthal angle.

- The domain for the ball of radius  $a$ :

$$D = \{(r, \theta, \phi) : 0 \leq r \leq a, 0 \leq \theta \leq \pi, 0 \leq \phi \leq 2\pi\}$$

- Laplace equation in spherical coordinates;

$$\Delta u = \frac{1}{r^2} \frac{\partial}{\partial r} \left( r^2 \frac{\partial u}{\partial r} \right) + \frac{1}{r^2 \sin \theta} \frac{\partial}{\partial \theta} \left( \sin \theta \frac{\partial u}{\partial \theta} \right) + \frac{1}{r^2 \sin^2 \theta} \frac{\partial^2 u}{\partial \phi^2}$$

### 4.3.3 Sturm-Liouville problems after separation of variables

Consider the stationary Schrödinger equation with  $V(r) = 0$  and

$$\psi(x, y, z) = R(r)\Theta(\theta)\Phi(\phi)$$

- Harmonic oscillator equation for  $\Phi(\phi)$ :

$$\Phi'' + \lambda\Phi = 0, \quad 0 \leq \phi \leq 2\pi,$$

subject to the periodic boundary conditions:

$$\Phi(0) = \Phi(2\pi), \quad \Phi'(0) = \Phi'(2\pi)$$

- Legendre polynomial equation for  $\Theta(\theta)$ :

$$\Theta'' + \cot \theta \Theta' - \frac{n^2}{\sin^2 \theta} \Theta + \mu \Theta = 0, \quad 0 \leq \theta \leq \pi$$

subject to the bounded boundary conditions:

$$\Theta(0) \text{ and } \Theta(\pi) \text{ exist}$$

When  $x = \cos \theta$  and  $\Theta(\theta) = y(x)$ , we have the associated Legendre equation:

$$(1 - x^2)y'' - 2xy' + \mu y - \frac{n^2}{1 - x^2}y = 0, \quad -1 \leq x \leq 1$$

- Bessel function equation for  $R(r)$ :

$$r^2 R'' + 2r R' + (Er^2 - l(l+1)) R = 0, \quad 0 \leq r \leq a,$$

subject to the Dirichlet boundary condition:

$$R(0) \text{ exists and } R(a) = 0$$

When  $R(r) = y(r)/\sqrt{r}$  and  $r = x$ , we have the half-integer Bessel equation:

$$x^2 y'' + xy' + \left(Ex^2 - \left(l + \frac{1}{2}\right)^2\right) y = 0$$

#### 4.3.4 Construction of spherical harmonics

- The set of  $2\pi$ -periodic Fourier eigenfunctions:

$$\lambda = n^2, \quad \Phi_n(\phi) = e^{in\phi}, \quad n \in \mathbb{Z},$$

such that

$$(\Phi_n, \Phi_{n'}) = \int_0^{2\pi} \bar{\Phi}_n(\phi) \Phi_{n'}(\phi) d\phi = 2\pi \delta_{n,n'}$$

- The set of orthogonal (associated) Legendre polynomials:

$$\mu = l(l+1), \quad \Theta_l(\theta) = P_l^{|n|}(\cos \theta), \quad l \in \mathbb{N},$$

such that

$$(\Theta_l, \Theta_{l'}) = \int_0^\pi \sin \theta \Theta_l(\theta) \Theta_{l'}(\theta) d\theta = \frac{2}{2l+1} \frac{(l+|n|)!}{(l-|n|)!} \delta_{l,l'}$$

- The set of orthogonal half-integer Bessel functions:

$$E = \left( \frac{z_{l,m}}{a} \right)^2, \quad R_m(r) = j_l \left( \frac{z_{m,l} r}{a} \right), \quad m \in \mathbb{N}_+,$$

where  $j_l(z)$  is a spherical Bessel's function:

$$j_l(z) = \sqrt{\frac{\pi}{2z}} J_{l+\frac{1}{2}}(z), \quad j_l(z_{m,l}) = 0,$$

such that

$$(R_m, R_{m'}) = \int_0^a r^2 R_m(r) R_{m'}(r) dr \sim \delta_{m,m'}$$

Eigenfunctions  $Y_l^n(\theta, \phi) = P_l^{|n|}(\cos \theta) e^{in\phi}$ ,  $n \in \mathbb{Z}$ ,  $l \in \mathbb{N}$  are referred to as the spherical harmonics in  $\mathbb{R}^3$ .